

10/642,667  
DOCKET NO. 03186-1/2002-239455

8

**REMARKS**

Claims 1-11, 13-15, and 18-29 are all the claims presently pending in the application. Claims 11, 13-14, 18, and 23 are amended to more clearly define the invention and claims 12 and 16-17 are canceled. Claims 1, 6, and 11 are independent.

These amendments are made only to more particularly point out the invention for the Examiner and not for narrowing the scope of the claims or for any reason related to a statutory requirement for patentability.

Applicant notes that, notwithstanding any claim amendments herein or later during prosecution, Applicant's intent is to encompass equivalents of all claim elements.

Entry of this §1.116 Amendment is proper. Since the Amendments above narrow the issues for appeal and since such features and their distinctions over the prior art of record were discussed earlier, such amendments do not raise a new issue requiring a further search and/or consideration by the Examiner. As such, entry of this Amendment is believed proper and Applicant earnestly solicits entry. No new matter has been added.

Applicant gratefully acknowledges the Examiner's indication that claims 1-10 and 27-28 are allowed. However, Applicant respectfully submits that all of the claims are allowable.

Applicant gratefully acknowledges the Examiner's indication that claims 17-23 would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims. However, Applicant respectfully submits that all of the claims are allowable.

Claims 11, 14-15, and 24-26 stand rejected under 35 U.S.C. § 102(b) as being anticipated by Green, et al. (U.S. Patent No. 5,773,341). Claim 13 stands rejected under 35 U.S.C. § 103(a) as being unpatentable over Green. Claim 29 stands rejected under 35 U.S.C.

10/642,667  
DOCKET NO. 03186-1/2002-239455

9

§ 103(a) as being unpatentable over Green in view of Toyokawa, et al. (U.S. Patent No. 6,576,509).

These rejections are respectfully traversed in the following discussion.

## I. THE CLAIMED INVENTION

An exemplary embodiment of the claimed invention, as defined by, for example, independent claim 11, is directed to a method for fabricating a semiconductor device. The method includes depositing a metallic conductive film on an underlying insulating film, depositing a first insulator film on the metallic conductive film, depositing a second insulator film on the first insulator film, patterning the first and second insulator films, etching the second insulator film to have a patterned area that is smaller than the first insulator film, and patterning the metallic conductive film, subsequently depositing a third insulator film on the first insulator film, said etched second insulator film, and the insulating film, and forming a sidewall film by etching the third insulator film.

Conventional methods for fabricating semiconductor devices have problems with defects such as a void and/or a short-circuit.

For example, in a first conventional method a two-layer mask is used to pattern a bit line. However, the use of a two-layer mask tends to increase the depth of sidewall films and, therefore, increases the aspect ratio between the depth of the sidewall films and the space between the sidewall films. This increased aspect ratio increases the likelihood that a defect, such as a void, is formed in an interlayer dielectric film. (Page 3, line 2 - 10).

In a second conventional method, a single-layer mask may be used to pattern a bit line. The use of a single-layer mask provides a reduced thickness in comparison to a two-

10/642,667  
DOCKET NO. 03186-1/2002-239455

10

layer mask and generally reduces the likelihood of forming a defect, such as a void, in an interlayer dielectric film. However, this reduction in thickness may result in an exposure of the bit lines when a contact hole is formed. This may result in a short-circuit defect. (Page 4, lines 3-23).

In summary, a two-layer hard mask may cause a defect in the embedding structure due to the increased aspect ratio, while a single-layer hard mask may cause a short circuit failure. (Page 5, lines 2-10).

In stark contrast, the present invention solves these problems by etching the second insulator film to have a patterned area that is smaller than the first insulator film. In this manner, the present invention reduces the likelihood of a short circuit failure while enabling the use of a two-layer mask. (Page 6, lines 1 - 6).

Further, in accordance with an exemplary embodiment of the claimed invention the third insulating layer is deposited subsequently to the etching of the second insulator film because the shape of the etched second insulator film affects the shape of the deposited third insulating layer and a sidewall film is formed by etching the third insulator film. In this manner, the shape of the tapered mesa structure can be adjusted by adjusting the etching of the second insulator film. (Page 9, lines 14 - 23).

## II. THE PRIOR ART REJECTIONS

### A. The Green et al. reference

Regarding the rejections of claims 11, 13-15, and 24-26, the Examiner alleges that the Green et al. reference teaches the claimed invention. Applicant submits, however, that there are elements of the claimed invention which are neither taught nor suggested by the Green et

10/642,667  
DOCKET NO. 03186-1/2002-239455

11

al. reference.

None of the applied references teaches or suggests the features of the claimed invention including a method for fabricating a semiconductor device that etches the second insulator film to have a patterned area that is smaller than the first insulator film, that subsequently deposits a third insulator film on the first insulator film, the etched second insulator film, and the insulating film and that forms a sidewall film by etching the third insulator film. As explained above, these features are important for reducing the likelihood of a short circuit failure while enabling the use of a two-layer mask and for controlling the shape of the tapered mesa structure.

Indeed, the Examiner admits that the Green et al. reference does not teach or suggest depositing a third insulator film on the etched second insulator film and etching-back the third insulator film to configure a side-wall film covering the patterned metallic conductive film.

In stark contrast, the Green et al. reference discloses depositing the third insulator film (sidewall spacers 45 and 46) before etching of the second insulator film (second capping layer 26). Indeed, the Green et al. reference explains that etching of the second capping layer 26 after the sidewall spacers 45 and 46 are deposited results in the forming of recesses 48b and 50b by masking "some of said material between the respective pairs of sidewall spacers" (col. 4, lines 31-34) "thus providing a gap between the respective inner sidewall spacers" (emphasis added, col. 4, lines 56-57).

Clearly, the Green et al. reference does not teach or suggest a method for fabricating a semiconductor device that etches the second insulator film to have a patterned area that is smaller than the first insulator film, that subsequently deposits a third insulator film on the

10/642,667  
DOCKET NO. 03186-1/2002-239455

12

first insulator film, the etched second insulator film, and the insulating film, and that forms a sidewall film by etching the third insulator film.

Applicant respectfully requests withdrawal of the rejections of claims 11, 13-15, and 24-26.

**B. The Green et al. reference in view of the Toyokawa et al. reference**

Regarding the rejections of claims 29, the Examiner alleges that the Toyokawa et al. reference would have been combined with the Green et al. reference to form the claimed invention. Applicant submits, however, that these references would not have been combined and even if combined, the combination would not teach or suggest each and every element of the claimed invention.

None of the applied references teaches or suggests the features of the claimed invention including a method for fabricating a semiconductor device that etches the second insulator film to have a patterned area that is smaller than the first insulator film, that subsequently deposits a third insulator film on the first insulator film, the etched second insulator film, and the insulating film and that forms a sidewall film by etching the third insulator film. These features are important for reducing the likelihood of a short circuit failure while enabling the use of a two-layer mask and for controlling the shape of the tapered mesa structure.

As explained above, the Green et al. reference does not teach or suggest these features.

The Toyokawa et al. reference does not remedy the deficiencies of the Green et al. reference.

10/642,667  
DOCKET NO. 03186-1/2002-239455

13

Rather, the Toyokawa et al. reference merely discloses another semiconductor integrated circuit device having a dynamic random access memory. The Toyokawa et al. reference does not teach or suggest anything at all about providing a second insulating film, let alone etching the second insulator film to have a patterned area that is smaller than the first insulator film, subsequently depositing a third insulator film on the first insulator film, the etched second insulator film, and the insulating film, and that forms a sidewall film by etching the third insulator film.

Indeed, the Examiner does not allege that the Toyokawa et al. reference teaches or suggests these features.

Therefore, the Examiner is respectfully requested to withdraw the rejection of claims 29.

### III. FORMAL MATTERS AND CONCLUSION

In view of the foregoing amendments and remarks, Applicant respectfully submits that claims 1-11, 13-15, and 18-29, all the claims presently pending in the Application, are patentably distinct over the prior art of record and are in condition for allowance. The Examiner is respectfully requested to pass the above application to issue at the earliest possible time.

Should the Examiner find the Application to be other than in condition for allowance, the Examiner is requested to contact the undersigned at the local telephone number listed below to discuss any other changes deemed necessary in a telephonic or personal interview.

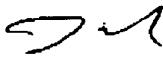
10/642,667  
DOCKET NO. 03186-1/2002-239455

14

The Commissioner is hereby authorized to charge any deficiency in fees or to credit any overpayment in fees to Attorney's Deposit Account No. 50-0481.

Respectfully Submitted,

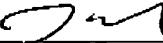
Date: 5/23/06

  
\_\_\_\_\_  
James E. Howard, Esq.  
Registration No. 39,715

**McGinn Intellectual Property Law Group, PLLC**  
8321 Old Courthouse Rd., Suite 200  
Vienna, Virginia 22182  
(703) 761-4100  
**Customer No. 21254**

**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that I am filing this Amendment After-Final Rejection Under 37 CFR §1.116 by facsimile with the United States Patent and Trademark Office to Examiner Christy L. Novacek, Group Art Unit 2822 at fax number (571) 273-8300 this 23<sup>rd</sup> day of May, 2006.

  
\_\_\_\_\_  
James E. Howard, Esq.  
Registration No. 39,715